

Regulatory changes affect capital depositories

The implementation phase is soon to be less than one year and requires to identify, assess and integrate ESG risks starting now.

ESG impact on disclosures

This information is disclosed per financial product:

- Description of impact & procedures for assessing sustainability risks and reference to the degree of compliance with the Paris Agreement
- Identification & prioritization of sustainable negative effects on investment decisions and description of appropriate countermeasures
- Commission system and assessment of probable yield losses due to sustainability risks

ESG impact on UCITS/AIFMD

Sustainability risks are integrated throughout the organisation:

- Responsibility for integration into business processes lies with senior management - Ensuring know-how about integrating risks into business processes
- Management of conflicts of interest relating to sustainability risks that may harm investors
- Due diligence of ESG risks and countermeasures in investment decisions
- Inclusion in product and process documentation and the inclusion of sustainability risks as a separate risk class



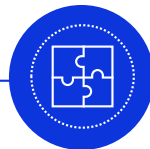
30.04.2019

**Final Report:
ESG in
UCITS/AIFMD**



09.12.2019

**EU Journal:
ESG in
Disclosure
Regulation**



31.12.2020

**Technical Advice:
ESG in Disclosure
Regulation**



10.03.2021

**Entry into force:
ESG in Disclosure
Regulation**

Our service



IMPACT ANALYSIS

Identification, influence and quantification of sustainability risks and analysis of negative effects on investment decisions



QUANTITATIVE ANALYSIS

Selection of process models for the assessment of sustainability risks and calculation of probabilities of loss of earnings



DOCUMENTATION AND DISCLOSURE

Incorporation into customer documentation (KID), process documentation and reports



SUSTAINABILITY CHECK

Harmonization of implementation with internationally recognised sustainability standards



CAPITAL MARKETS EXPERTISE

Advice on adaptation of the commission system and preparation and implementation of training



RISK MANAGEMENT

Integration of sustainability risks in risk management and risk mapping per financial product

Your advantages



ESG-Compliance



Risk minimization



Process efficiency



CapTech experience

LPA – Your ideal partner

Based on quantitatively profound expertise in Risk Management as well as numerous successfully implemented projects, LPA is the ideal partner to prepare you best for the far-reaching legislative changes.

400+
specialists
Across Europe
and APAC

20+
Years
In capital markets
advisory and
software

250+
Clients
In banking and
asset management

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Founded in 1999, LPA has been an industry leader in the Capital Markets Technology and Advisory space for two decades, effectively combining innovative software solutions with deep subject matter expertise across interest rate and currency management, regulation, risk and new and emerging technologies. As the European market leader in capital markets technology solutions LPA has a proven track record of working with international banks and financial institutions to improve client experience, drive efficiencies and increase profitability to ultimately deliver against stated business strategies.